

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Jeffrey S. Bardsley; Ashley A. Brock; Charles K. Davis, III;  
Nathaniel W. Kim; John J. McKenna; Carlos F. Villegas

Serial No.: To Be Assigned

Filed: Concurrently Herewith

For: SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR  
ADMINISTRATION OF COMPUTER SECURITY THREAT  
COUNTERMEASURES TO A COMPUTER SYSTEM

July 22, 2003

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

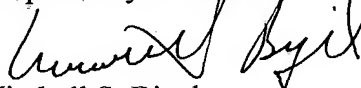
**INFORMATION DISCLOSURE STATEMENT**

Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP.

No fee is believed due. However, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to our Deposit Account No. 50-0629.

Respectfully submitted,



Mitchell S. Bigel

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20792

PATENT TRADEMARK OFFICE

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



Susan E. Freedman

Date of Signature: July 22, 2003

Substitute form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)			Application Number	To Be Assigned	
			Filing Date	Concurrently Herewith	
			First Named Inventor	Jeffrey S. Bardsley	
			Group Art Unit		
			Examiner Name		
Sheet	1	of	2	Attorney Docket Number	5577-264

U.S. PATENTS AND PATENT PUBLICATIONS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
	1.	2003/0009699	A1	Gupta et al.	01/09/2003	
	2.	2003/0004689	A1	Gupta et al.	01/02/2003	
	3.	2003/0004688	A1	Gupta et al.	01/02/2003	
	4.	2002/0178383	A1	Hrabik et al.	11/28/2002	
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	7.	6,408,391	B1	Huff et al.	06/18/2002	
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Examiner Initials*	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				
	11.	CA	2,316,005	A1	Securelogix Corporation	02/14/2002		
	12.	WO	00/70456	A1	Securelogix Corporation et al.	11/23/2000		
	13.	WO	98/06020	A2	Dieter Bartmann	02/12/1998		

OTHER NON PATENT LITERATURE DOCUMENTS					T
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
	14.	About the VulnXML Project, The Open Web Application Security Project, <a href="http://www.owasp.org/vulnxml/">http://www.owasp.org/vulnxml/</a> , 2001-2002, 2 pp.			
	15.	Carnegie Mellon Software Engineering Institute, CERT <sup>®</sup> /CC: Computer Security Incident Response Team FAQ, <a href="http://www.cert.org/csirts/csirt_faq.html">http://www.cert.org/csirts/csirt_faq.html</a> , 2002-2003, 9 pp.			
	16.	Citadel Hercules Automated Vulnerability Remediation Product Brochure, Citadel Security Software Inc., 2003			
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	24.	ICAT Metabase Documentation, <a href="http://icat.nist.gov/icat_documentation.htm">http://icat.nist.gov/icat_documentation.htm</a> , 5pp.			
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	26.	Martin, Managing Vulnerabilities in Your Networked Systems Using an Industry Standards Effort, NDIA Federal Database Colloquium & Exposition, October 25, 2001, 2 pp., Abstract at <a href="http://www.mitre.org/work/tech_papers/tech_papers_01/martin_1vulner/index.html">http://www.mitre.org/work/tech_papers/tech_papers_01/martin_1vulner/index.html</a>			
	27.	MITRE, Press Release, MITRE Announces New Standard for Computer Vulnerability Assessment, <a href="http://www.mitre.org/news/releases/02/oval12_10_02.html">http://www.mitre.org/news/releases/02/oval12_10_02.html</a> , 2002, 2 pp			
	28.	Myerson, Identifying Enterprise Network Vulnerabilities, International Journal of Network Management, Vol. 12, 2002, pp. 135-144			
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Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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OTHER NON PATENT LITERATURE DOCUMENTS			
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	30.	OWASP, <i>Introducing the OWASP Top Ten - Top Vulnerabilities in Web Applications</i> , The Open Web Application Security Project, <a href="http://www.owasp.org/">http://www.owasp.org/</a> , 2001-2002, 2 pp.	
	31.	SANS Institute, <i>About the SANS Institute</i> , <a href="http://www.sans.org/aboutsans.php">http://www.sans.org/aboutsans.php</a> , 2002-2003, 4 pp.	
	32.	Tasker et al., <i>CVE Continues to Grow</i> , The Edge, February 2001, <a href="http://www.mitre.org/news/the_edge/february_01/tasker.html">http://www.mitre.org/news/the_edge/february_01/tasker.html</a> , 2 pp.	
	33.	<i>VulnXML Proof of Concept Vision Document, Version 1</i> , The Open Web Application Security Project, 2002, 7 pp.	

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